



STATEMENT UNDER 37 C.F.R. 3.73(b) Address to: Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	AGYT-017CIP2
	First Named Inventor	K. Kask
	Application Number	10/633,109
	Filing Date	August 1, 2003
	Confirmation Number	3629
	Group Art Unit	1645
	Examiner Name	
Title: <i>Interaction of NMDA Receptor with Protein Tyrosine Phosphatase</i>		

Applicant/Patent Owner: AGY Therapeutics, Inc.

Application No./Patent No.: 10/633,109 Filed/Issue Date: August 1, 2003

Entitled: "*Interaction of NMDA Receptor with Protein Tyrosine Phosphatase*"

AGY Therapeutics, Inc., a corporation states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014174, Frame 0585, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown

below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

08/15/04
Date

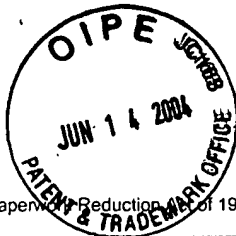
650 228 1116
Telephone Number

Typed or Printed and Title

Cynthia J. Ladd
Signature

PRESIDENT & C.E.O.
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/01a (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)**Title of Invention** INTERACTION OF NMDA RECEPTOR WITH PROTEIN TYROSINE PHOSPHATASE

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/633,109 filed on August 1, 2003,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application, if applicable; and.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

As a named inventor I hereby appoint Practitioners at Customer Number 24353 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith**FULL NAME OF INVENTOR(S)**Inventor one: KASK, KALEVSignature: Citizen of: ESTONIAInventor two: MELCHER, THORSTEN

Signature: _____

Citizen of: _____

Inventor three: KAROLY, NIKOLICH

Signature: _____

Citizen of: _____

Inventor three: URFER, ROMAN

Signature: _____

Citizen of: _____

☐ Additional inventors are being named on additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FULL NAME OF INVENTOR(S)

Inventor one: KASK, KALEV

Signature: _____ Citizen of: _____

Inventor two: MEIJER, THORSTEN

Signature:  Citizen of: GERMANY

Inventor three: KAROLY, NIKOLICH

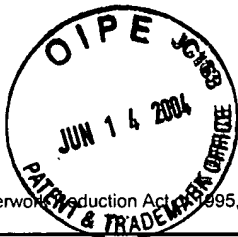
Signature: _____ Citizen of: _____

Inventor three: URFER, ROMAN

Signature: _____ Citizen of: _____

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Signature: _____ Citizen of: _____

Inventor two: MELCHER, THORSTEN

Signature: _____ Citizen of: _____

Inventor three: KAROLY, NIKOLICHSignature: *Karoly Nikolich* Citizen of: USAInventor three: URFER, ROMAN

Signature: _____ Citizen of: _____

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☐ as amended on _____ (if applicable);

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I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

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Signature: _____ Citizen of: _____

Inventor two: MELCHER, THORSTEN

Signature: _____ Citizen of: _____

Inventor three: KAROLY, NIKOLICH

Signature: _____ Citizen of: _____

Inventor three: URFER, ROMANSignature: [Signature] Citizen of: Switzerland☐ Additional inventors are being named on additional form(s) attached hereto.

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ASSIGNMENT OF APPLICATION
(SOLE)

Address to:
Mail Stop Assignment and Recordation Services
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



Attorney Docket Number	AGYT-017CIP2
First Named Inventor	KASK, KALEV
Application Number	10/633,109
Filing Date	August 1, 2003
Group Art Unit	1645
Examiner Name	
Title:	"INTERACTION OF NMDA RECEPTOR WITH PROTEIN TYROSINE PHOSPHATASE"

THIS ASSIGNMENT, by URFER, ROMAN (hereinafter referred to as the assignor), residing in Foster City, California, witnesseth:

WHEREAS, the said assignor has invented certain new and useful improvements in:

"INTERACTION OF NMDA RECEPTOR WITH PROTEIN TYROSINE PHOSPHATASE"

 x for which an application for a United States Patent was filed on August 1, 2003, Application No. 10/633,109.
 for which an application for a United States Patent was executed on , and

WHEREAS, AGY Therapeutics, Inc. a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 290 Utah Avenue, South San Francisco, California 94080 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, the said assignor hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignor is the sole and lawful owner of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignor hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignor will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND the said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behalf of said assignee, its successors, legal representatives and assigns.

Date 03-15-04

Name of Inventor L. Urfer

URFER, ROMAN

COPY